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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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|  |                             |                               |              |
|--|-----------------------------|-------------------------------|--------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>   | 09/010,919                    |              |
|  | <b>Filing Date</b>          | January 23, 1998              |              |
|  | <b>First Named Inventor</b> | Christopher J. ORDISH et al.  |              |
|  | <b>Group Art Unit</b>       | 2166                          |              |
|  | <b>Examiner Name</b>        | A. Kalinowski                 |              |
| <b>Total Number of Pages in This Submission</b>  |                             | <b>Attorney Docket Number</b> | 003432.73540 |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input checked="" type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____<br><br><b>Remarks</b><br><br><br> | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br><b>Appendix: Marked-up claims</b> |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Joseph M. Potenza, Reg. No. 28,175              |
| Signature <i>Reg. No. 48,830</i>           | <i>Anthony W. Kardane for Joseph M. Potenza</i> |
| Date                                       | October 17, 2002                                |

| CERTIFICATE OF MAILING  |  |      |  |
|---|--|------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/> |  |      |  |
| Typed or printed name   |  |      |  |
| Signature   |  | Date |  |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 110

## Complete if Known

|                      |                              |
|----------------------|------------------------------|
| Application Number   | 09/010,919                   |
| Filing Date          | January 23, 1998             |
| First Named Inventor | Christopher J. Ordish et al. |
| Examiner Name        | A. Kalinowski                |
| Group / Art Unit     | 2411                         |
| Attorney Docket No.  | 03432.73540                  |

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

19-0733

Deposit  
Account  
Name

Banner & Witcoff, Ltd.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 710                   | 201                  | 355                   | Utility filing fee     |          |
| 106                  | 320                   | 206                  | 160                   | Design filing fee      |          |
| 107                  | 490                   | 207                  | 245                   | Plant filing fee       |          |
| 108                  | 710                   | 208                  | 355                   | Reissue filing fee     |          |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$ ) 0

### 2. EXTRA CLAIM FEES

| Total Claims                                    | Extra<br>Claims          | Fee from<br>below        | Fee<br>Paid              |
|---|--------------------------|--------------------------|--------------------------|
| <input type="text"/> -20**                      | = <input type="text"/> 0 | X <input type="text"/>   | = <input type="text"/> 0 |
| Independent<br>Claims <input type="text"/> -3** | = <input type="text"/> 0 | X <input type="text"/>   | = <input type="text"/> 0 |
| Multiple<br>Dependent                           | X <input type="text"/>   | = <input type="text"/> 0 |                          |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 80                    | 202                  | 40                    | Independent claims in excess of 3                          |
| 104                  | 270                   | 204                  | 135                   | Multiple dependent claim, if not paid                      |
| 109                  | 80                    | 209                  | 40                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

| Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee<br>Paid |
|-------------|--------------------------------|-------------|--------------------------------|--|-------------|
| 105         | 130                            | 205         | 65                             | Surcharge - late filing fee or oath  |             |
| 127         | 50                             | 227         | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 139         | 130                            | 139         | 130                            | Non-English specification  |             |
| 147         | 2,520                          | 147         | 2,520                          | For filing a request for reexamination                                     |             |
| 112         | 920*                           | 112         | 920*                           | Requesting publication of SIR prior to Examiner action                     |             |
| 113         | 1,840*                         | 113         | 1,840*                         | Requesting publication of SIR after Examiner action                        |             |
| 115         | 110                            | 215         | 55                             | Extension for reply within first month                                     | 110         |
| 116         | 390                            | 216         | 195                            | Extension for reply within second month                                    |             |
| 117         | 890                            | 217         | 445                            | Extension for reply within third month                                     |             |
| 118         | 1,390                          | 218         | 695                            | Extension for reply within fourth month                                    |             |
| 128         | 1,890                          | 228         | 945                            | Extension for reply within fifth month                                     |             |
| 119         | 310                            | 219         | 155                            | Notice of Appeal   |             |
| 120         | 310                            | 220         | 155                            | Filing a brief in support of an appeal                                     |             |
| 121         | 270                            | 221         | 135                            | Request for oral hearing   |             |
| 138         | 1,510                          | 138         | 1,510                          | Petition to institute a public use proceeding                              |             |
| 140         | 110                            | 240         | 55                             | Petition to revive - unavoidable   |             |
| 141         | 1,240                          | 241         | 620                            | Petition to revive - unintentional   |             |
| 142         | 1,240                          | 242         | 620                            | Utility issue fee (or reissue)   |             |
| 143         | 440                            | 243         | 220                            | Design issue fee   |             |
| 144         | 600                            | 244         | 300                            | Plant issue fee  |             |
| 122         | 130                            | 122         | 130                            | Petitions to the Commissioner  |             |
| 123         | 130                            | 123         | 130                            | Petitions related to provisional applications                              |             |
| 126         | 180                            | 126         | 180                            | Submission of Information Disclosure Stmt                                  |             |
| 581         | 40                             | 581         | 40                             | Recording each patent assignment per property (times number of properties) |             |
| 146         | 710                            | 246         | 355                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 149         | 710                            | 249         | 355                            | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 179         | 710                            | 279         | 355                            | Request for Continued Examination (RCE)                                    |             |
| 169         | 900                            | 169         | 900                            | Request for expedited examination of a design application                  |             |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ ) 110

## SUBMITTED BY

## Complete (if applicable)

|                   |                          |                                  |                  |           |              |
|-------------------|--------------------------|----------------------------------|------------------|-----------|--------------|
| Name (Print/Type) | Joseph M. Potenza        | Registration No. Attorney/Agent) | 28,175           | Telephone | 202-508-9100 |
| Signature         | <i>Joseph M. Potenza</i> | Date                             | October 17, 2002 |           |              |

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